

Sample UBS Investment Monitoring Report

Investments Within This Plan Report

SAMPLE REPORT
NOT FOR DISTRIBUTION TO THE PUBLIC

The below-listed investments are contained and analyzed within this plan report³:

| | Ticker | Inception Date | Pre-Inception Returns | Morningstar Category | Category Benchmark |
|--|--------|----------------|-----------------------|---------------------------|----------------------------------|
| Fixed Income | | | | | |
| Oppenheimer Total Return Bond R ² | OPBNX | 03/01/2001 | Yes | Intermediate-term Bond | BB Aggregate Bond |
| AB Global Bond R ² | ANARX | 11/05/2007 | Yes | World Bond | Citi WGBI NonUSD USD |
| American Century Short Dur Inf PrBd A ² | APOAX | 05/31/2005 | Yes | Inflation-Protected Bond | BB TIPS |
| Balanced | | | | | |
| Janus Henderson Balanced R ² | JDBRX | 07/06/2009 | Yes | Allocation--50% to 70% Eq | 60% S&P500 / 40% BB Cap Agg Bond |
| American Century One Choice 2020 R ² | ARBRX | 05/30/2008 | Yes | Target-Date 2020 | DJ US 2020 Target Index |
| American Century One Choice 2025 R ² | ARWRX | 08/31/2004 | Yes | Target-Date 2025 | DJ US 2025 Target Index |
| American Century One Choice 2030 R ² | ARCRX | 05/30/2008 | Yes | Target-Date 2030 | DJ US 2030 Target Index |
| American Century One Choice 2035 R ² | ARYRX | 08/31/2004 | Yes | Target-Date 2035 | DJ US 2035 Target Index |
| American Century One Choice 2040 R ² | ARDRX | 05/30/2008 | Yes | Target-Date 2040 | DJ US 2040 Target Index |
| American Century One Choice 2045 R ² | ARORX | 08/31/2004 | Yes | Target-Date 2045 | DJ US 2045 Target Index |
| American Century One Choice 2050 R ² | ARFWX | 05/30/2008 | Yes | Target-Date 2050 | DJ US 2050 Target Index |
| American Century One Choice 2055 R ² | AREOX | 03/31/2011 | Yes | Target-Date 2055 | DJ US 2055 Target Index |
| Equity | | | | | |
| Principal Large Cap S&P 500 Index R ³ | PLFMX | 12/06/2000 | Yes | Large Blend | Russell 1000 Index |
| Franklin Growth R ² | FGSRX | 01/02/2002 | Yes | Large Growth | Russell 1000 Growth Index |
| Victory Sycamore Established Value R | GETGX | 08/16/1983 | No | Mid-Cap Value | Russell Mid-Cap Value Index |
| Prudential Jennison Mid-Cap Growth R ² | JDERX | 06/03/2005 | Yes | Mid-Cap Growth | Russell Mid-Cap Growth Index |
| Victory Sycamore Small Company Opp R | GOGFX | 08/16/1983 | No | Small Value | Russell 2000 Value Index |
| Buffalo Small Cap | BUFSX | 04/14/1998 | No | Small Growth | Russell 2000 Growth Index |
| BlackRock Russell 2000 Growth F ¹ | | | No | Small Growth | Russell 2000 Growth Index |

¹ This investment or strategy is not available through UBS. Please see Important Information About This Report section for details.

² Results shown for periods of time prior to "Inception Date" include Pre-Inception Returns. Note however that "Since Inception Returns" always reflect results for the actual share class and thus do not include Pre-Inception Returns. Please see Important Information About This Report section for details.

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| International | | | | | |
| Oppenheimer International Growth R ² | OIGNX | 03/01/2001 | Yes | Foreign Large Growth | MSCI AC World Index ex USA Growth ND USD |
| Putnam Global Equity A | PEQUX | 07/01/1994 | No | World Large Stock | MSCI The World Index ND USD |
| Oppenheimer Developing Markets R ² | ODVNX | 03/01/2001 | Yes | Diversified Emerging Mkts | MSCI EM (Emerging Markets) ND USD |

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Sample UBS Investment Monitoring Report

Investments Within This Plan Report: Proposed Funds

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|--|--------|----------------|-----------------------|--------------------------|--------------------|
| Fixed Income | | | | | |
| Eaton Vance Short Dur Infl-Prot Inc A ² | EARRX | 04/01/2010 | Yes | Inflation-Protected Bond | BB TIPS |

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